

PennState College of Medicine





PennState Health Milton S. Hershey Medical Center

REQUEST FOR APPLICATIONS

2025 Grace Woodward Grants

For Collaborative Research in Engineering and Medicine

Release Date: November 5, 2025

STEP 1:

Register Intent to Submit an Application by: 12 Noon (EST), April 17, 2025 Link: <u>https://psu.infoready4.com/#freeformCompetitionDetail/1954334</u>

STEP 2:

Submit a Full Application by: 12 Noon (EST), May 1, 2025

Link: <u>https://psu.infoready4.com/#freeformCoumpetitionDetail/1954476</u>

A. Overview

The Dean of the College of Engineering and the Dean of the College of Medicine announce the availability of the Grace Woodward Grants for Collaborative Research in Engineering and Medicine. These grants are supported by generous endowments to the Colleges of Engineering and Medicine from the estate of Grace Woodward. The Grace Woodward Collaborative Research in Engineering and Medicine grants are intended to support projects that create or capitalize upon opportunities for new applications of engineering to problems in the life sciences and medicine. The program is designed to encourage genuine collaborations between engineers and clinicians or biomedical scientists. Proposals in alignment with either the College of Medicine or <u>College of Engineering</u> strategic plans and in the topic area of <u>biodevices</u> are particularly encouraged.

B. Eligibility Criteria

- 1. All proposals must include two Co-Principal Investigators (Co-PIs) that are substantially invested in the project and who work together to draft and revise the proposal. Requirements:
 - a. One of the two Co-PIs must have a primary academic appointment in the College of Medicine as an Assistant Professor, Associate Professor or Professor. In addition to the basic science faculty, all physicians employed by Penn State Health Milton S. Hershey Medical Center have a primary academic appointment in the College of Medicine and thus are eligible to apply.
 - b. One of the two Co-PIs must have a primary academic appointment and tenure home in the College of Engineering at the University Park Campus. College of Engineering faculty members from other Penn State campuses will also be eligible to serve as a Co-PI of an application to this program if the resources to support their participation are provided by their local campus unit.
 - c. Additional investigators from these and other campuses/colleges are eligible to participate as Co-Investigators (Co-Is).
- 2. Investigators who are currently serving as a PI/Co-PI of an <u>active</u> Grace Woodward Grant <u>or</u> Center for Biodevices Seed Grant **are not eligible** to submit an application in response to this RFA.

3. An investigator may only serve as Co-PI on <u>ONE</u> (1) Grace Woodward Grant <u>OR</u> Center for Biodevices Seed Grant application for 2025. While an investigator may only serve as Co-PI on one application, the number of projects where an investigator serves as a co-investigator or collaborator is not limited.

C. Program Guidelines

- 1. Grace Woodward Collaborative Grants will support projects that create or capitalize upon opportunities for new applications of engineering to problems in the life sciences and medicine. Projects may be:
 - a. **Fundamental Research** that aims to generate preliminary data for co-authored publications in a new line of research leading to external grant submissions, or
 - b. **Applied Research** that aims to demonstrate feasibility or develop a prototype of a new medical device, instrument or other diagnostic or therapeutic modality that will become attractive for commercial development.
 - Projects focused on Applied Research should include: 1) <u>a Development Plan</u> describing the scope of work with supporting technical detail and clear milestones to advance the technology toward commercialization, as well as a description of the most probable licensee and summary of the IP portfolio, and 2) <u>a Commercialization Plan</u> that provides the overall strategy to commercialize the technology both during and after the funding period. While applicants are not expected to have a detailed business plan at this stage, the proposal should demonstrate an understanding of issues that relate to commercial relevancy (see Appendix 1). A summary of how the proposed Development Plan helps to address key commercial questions should be included.
 - Investigators wishing more information on this type of application should contact Erika Swift, Director, Center for Medical Innovation (<u>eus59@psu.edu</u>).
 - Awardees will interact with representatives from Penn State's Center for Medical Innovation (<u>https://research.med.psu.edu/departments/medical-innovation/</u>) in order to assist with potential technology commercialization.
- 2. Proposals representing a new area of collaboration between the Co-PIs that has not previously received support from this or other competitive grant programs are encouraged.
- 3. New proposals as well as revised versions of previously unfunded proposals to this program will be considered.
- 4. Applicants together may request **up to \$70,000 total direct costs** (\$35k College of Medicine/\$35k College of Engineering). The specific aims proposed in the application must be achievable within *18 months* using the funds provided. <u>Please note that requests for No-Cost Extensions will not be granted</u>.
- 5. Each application must include <u>separate</u> budgets for the portions of the project that will be conducted in the College of Engineering and in the College of Medicine. <u>Co-PIs are encouraged to develop proposals</u> requesting approximately equal funding to support the activities in each college. Skewing of the budget toward one College requires a statement in the budget justification addressing the need for a skewed distribution and the nature of the involvement of the PI from the other college.
- 6. Funds may be requested to support salaries and fringe benefits for research staff and faculty, student stipends and tuition, postdoctoral fellows, essential research supplies, small equipment, and research-related expenses to further the research aims. Funds may not be requested for publication expenses or travel to conferences; however, travel expenses necessary for the conduct of the research project are allowable.

Although no minimum percent effort is required for the Co-PIs, the effort that Co-PIs and others plan to devote to the project must be specified in the budget justification. Each Co-PI may charge the grant for a maximum of 5% effort. Should a Co-PI's full salary exceed the NIH cap, the anticipated percent effort should be indicated and budgeted to reflect the current NIH salary cap (\$221,900; NOT-OD-24-057).

7. **Co-Pls of Grace Woodward Grants must agree to:** 1) present a progress report on their project at a Center for Biodevices Outcomes Day event; 2) submit a final written progress report within 60 days of completing the

project; 3) report periodically on the impact of this award on subsequent sponsored research activities, upon request; and 4) serve as a member of the Center for Biodevices Review Committee in future years, upon request.

D. Identification of Collaborators

Potential applicants may obtain advice and assistance in identification of potential collaborators with engineering, scientific or clinical expertise in specific areas by contacting Dr. Mary Frecker at the College of Engineering (mxf36@psu.edu) or Dr. Yuval Silberman at the College of Medicine (yus72@psu.edu).

E. STEP 1: Register Intent to Submit an Application (REQUIRED)

Investigators planning to submit an application in response to this RFA must register their intent via Penn State InfoReady (<u>https://psu.infoready4.com/#freeformCompetitionDetail/1954334</u>) by **12 Noon (EST) on Thursday,** April **17**, 2025.

Applicants will be asked to provide: the names, departments, colleges, and role (Co-PI, Co-Investigator, etc.) of all personnel involved in the project, a descriptive title of the application, and an abstract describing the objectives, specific aims, and health-relatedness of the project.

Intents will be reviewed by the administrative committee for programmatic fit and eligibility. <u>Note: An</u> <u>investigator may only serve as PI/Co-PI on **ONE (1)** Center for Biodevices Seed Grant **OR** Grace Woodward Grant <u>notice of intent/application for 2025</u>. While an investigator may only serve as Co-PI on one submission, the number of projects where an investigator serves as a co-investigator or collaborator is not limited. Projects with Co-PIs on multiple submissions must be resolved prior to the submitting the full application.</u>

F. STEP 2: Submit a Full Application

Instructions for applicants:

All applications should use standard letter paper size (8 $\frac{1}{2}$ " x 11"), a font size of 11 points or larger with single line spacing and provide one-half inch (0.5") margins— top, bottom, left, and right— on all pages. Applications that do not follow the required format may be returned without review. The final PDF should include all components listed below in the order indicated:

- 1. **Cover Page:** Include the project title and names, colleges, departments, and titles, and roles all key personnel involved in the project. Clearly indicate the Co-PIs of the project.
- 2. Table of Contents: Include page numbers starting with the cover page, numbering all pages consecutively.
- 3. Lay Abstract: (30 lines of text maximum) Briefly summarize the objective, specific aims and healthrelatedness of the project in terms that will be understood by a non-scientific lay audience.
- 4. ***For revised applications only Introduction:** (1-page maximum) Address previous reviewer comments.
- 5. **Research Plan:** (5 pages maximum, including figures and tables) Must include the following:
 - a. **Specific Aims** List the specific aims of this proposal and explain how their accomplishment will help achieve the program goals identified above.
 - b. Significance Explain how the proposal addresses an important problem or clinical barrier.
 - c. **Innovation** How does the proposal challenge or shift existing paradigms? Specifically highlight any novel concepts, approaches, methods, or instrumentation.
 - d. Approach Describe the proposed experimental design, preliminary studies, and anticipated results.
 - e. Environment Describe the research environment and resources that will contribute to this project.
- 6. Investigators: (1-page maximum)
 - a. <u>Clearly and fully describe the contributions that the Co-PI from COE and the Co-PI from COM will each</u> make to this project, both individually and collaboratively. This program is designed to **encourage**

genuine collaborations between engineers and clinicians or biomedical scientists, and it is anticipated that each Co-PI will make critical and meaningful contributions to the project. If one Co-PI will be more fully involved early and the other somewhat later, the timetable for that should be clearly described in the application. Proposals that require only token or minor contributions from one Co-PI or the other (such as obtaining tissue samples or analysis or engineering modest refinements to an existing device or process) should seek support from other more appropriate mechanisms.

b. Explain how you envision the collaboration between Co-PIs and potentially other members of the team will be extended in the future and sustained.

7. Future Plans:

*For Fundamental Research applications: (1-page maximum)

a. Assuming that the project is successful, describe plans to secure continued funding including the most probable sponsor, mechanism, and expected receipt date for the first application.

*For Applied Research applications:

- a. <u>Development Plan</u>: (2 pages maximum) Describe the scope of work with supporting technical details, including any compliance considerations, and clear milestones. Include a description of the most probable licensee and summary of the IP portfolio.
- b. <u>Commercialization Plan</u>: (1-page maximum) Provide a brief business plan describing the overall strategy to commercialize the technology both during and after the funding period. See Appendix 1.
- 8. Literature Cited: List all references.
- 9. Human Subjects and/or Vertebrate Animals: Describe involvement, if any.
- 10. Budget: Each application must include separate SIMS budget forms for the portions of the project that will be conducted in the College of Engineering and in the College of Medicine (up to \$70,000 total combined direct costs; \$35k College of Medicine/\$35k College of Engineering). CoE faculty should work directly with the Engineering Office of Research Administration. See Program Guidelines for budget details.
 - The period of performance is August 1, 2025 January 31, 2027. SIMS budgets should be split to align with the University's fiscal years, i.e., Period 1 of the grant is August 1, 2025 June 30, 2026, and Period 2 of the grant is July 1, 2026 January 31, 2027. There is no need to initiate an IAF.
 - Indicate any cost-share from local departments, research centers, or university consortia.
 - Please note that *requests for No-Cost Extensions will not be granted*.
- 11. **Budget Justification:** Provide a separate justification page for the budget request from each college (1 page maximum each). Explain and justify all proposed expenditures so that it is clear why they are essential for the success of the project. Expenditures not fully justified can be removed at any time during the review process.
- 12. **Biosketches:** Include a current biosketch for both Co-PIs and all Co-Is or key personnel using the short NSF (5-page) format or the current NIH format. For NIH biosketch template and examples, see https://grants.nih.gov/grants/forms/biosketch.htm.
- 13. Letters of Support: For Co-PIs and key personnel at the College of Medicine: If faculty will participate in the project but not receive salary support from this award, a letter from each Department Chair/Head or Institute Director is required confirming your salary support up to 2%.
- 14. List Other Support: Include all active and pending support for both Co-PIs. Indicate clearly whether each project listed does or does not overlap with this application and explain the nature of any overlap.

Submission:

All applications must be submitted as a single PDF via the PSU InfoReady competition page (<u>https://psu.infoready4.com/#freeformCompetitionDetail/1954476</u>) before **12** Noon (EST) on Thursday, May 1,

2025. Before taking steps to submit a proposal, it is recommended that applicants first review the <u>PSU InfoReady</u> <u>Guidance for Applicants</u> on page 7 of this RFA.

G. Review Process

Applications will undergo a review for scientific and technical merit by non-conflicted members of the Center for Biodevices Review Committee. Reviewers will use a scoring system adapted from the NIH to evaluate the following review criteria: responsiveness of the proposal to the RFA, significance, investigators, innovation, approach, environment, and the potential for the project to subsequently attract significant support for research and/or commercial development of a promising new medical device, diagnostic, instrument or other diagnostic or therapeutic modality from an external sponsor. In addition, the review committee will be asked to comment on compliance and identify changes in study design and/or methodology that would strengthen each proposal. These recommendations will be returned to the applicant along with anonymous reviewer critiques at the conclusion of the review process. The review committee will make its recommendations through the Directors of the Center for Biodevices and Research Development, College of Medicine, to the Associate Dean for Innovation, College of Engineering, and Vice Dean for Research and Graduate Studies, College of Medicine, who with the Deans of the College of Engineering and the College of Medicine will make all final decisions regarding awards.

H. <u>Awards</u>

Contingent upon the receipt of meritorious applications, two awards will be announced on or about July 1, 2025. The anticipated start date for this award is August 1, 2025. <u>Research compliance approvals (IRB, IACUC, Biosafety)</u> <u>must be obtained before funding will be released</u>.

I. Questions

Questions regarding the guidelines or eligibility for this funding opportunity, application format or the submission, review and award process should be directed to Megan Jones (<u>mmy10@psu.edu</u>), Assistant Director of Research Development, Penn State College of Medicine.

Appendix 1: Consideration when Creating a Commercialization Plan

Describe the clinical/medical unmet need this technology addresses/solves.

What is the market size of the unmet need? How common is this problem?

What is the current standard of care? Are there existing companies that offer a solution to address the unmet need? If so, what solutions do they offer?

Compare this technology to current standard of care/market solutions. Does this technology address weaknesses of current solutions?

Has this technology been disclosed to Penn State's Office of Technology Management?

Have you worked with industry related to this technology?

Please direct any questions or interest in receiving assistance with your commercialization plan to Penn State's Center for Medical Innovation. Contact Erika Swift, Director, Center for Medical Innovation (<u>eus59@psu.edu</u>).

PSU INFOREADY GUIDANCE FOR APPLICANTS

PLEASE READ THIS BEFORE SUBMITTING A PROPOSAL

In order to submit applications through PSU InfoReady, applicants **must** first login to InfoReady using their Penn State credentials and complete the authentication process through Penn State University's Single Sign-On (SSO) <u>at least one time prior to submission</u>. InfoReady requires this one-time log in/SSO process to authenticate applicants as affiliates of Penn State. Once authenticated, applicants have the ability to submit a proposal on their own OR to designate a proxy who can submit proposals on their behalf. All users are reminded to <u>use only PSU email accounts (e.g. jlg174@psu.edu) when using PSU InfoReady</u>. PSU InfoReady does not recognize applicant submissions associated with other email domains.

PREFERRED SYSTEMS & BROWERS

The site should be accessed via a desktop or laptop computer. It is not compatible with mobile devices or tablets. Both Windows and Mac operating systems are compatible. InfoReady runs best on the latest supported versions of Chrome, Firefox, and Safari browsers. It does not run optimally on Microsoft Edge or Internet Explorer. Some display issues have been reported when using Safari. If you are experiencing issues, please try using another browser.

DESIGNATING A PROXY

Proxy submitters are individuals designated by the PI to submit a proposal on their behalf. Typically, a proxy is a department research administrator that supported the applicant's submission. InfoReady provides step-by-step <u>Instructions for Designating a Proxy</u>. Both the proxy and applicant will receive all competition notifications.

SAVE AS DRAFT

InfoReady allows users to Save as Draft and return later to complete an application. Access your draft applications through the Applications tab on the home navigation bar. Clicking 'Apply' more than once for a particular opportunity may create multiple submissions in the competition. Delete any old or duplicate drafts by clicking the trash can icon to the right of the desired application.

EDITING A SUBMITTED APPLICATION

Prior to the submission deadline, InfoReady administrators are able to return applications to applicants for edits. There is no need to start a new application. Please contact Research Development at the College of Medicine (<u>ResearchDevelopment@pennstatehealth.psu.edu</u>) if you need to recall a submitted application. The applicant and/or proxy submitter will receive an email notification with a link to the application, which will return to draft status in the Applications tab. The applicant will be able to make edits and re-submit. Once the submission deadline has passed, only an InfoReady administrator can make edits to a submitted application.

QUESTIONS OR CONCERNS? If applicants or administrators encounter any technical issues with InfoReady, they are encouraged to submit a ticket for technical support by emailing InfoReady at <u>support@inforeadycorp.com</u>. InfoReady's support team is available from 8:00 a.m. – 7:30 p.m. (EST) and is very responsive. Research Development at the College of Medicine is also available to provide technical support. To connect with Research Development, please email <u>ResearchDevelopment@pennstatehealth.psu.edu</u>.